

NRI Introduces Progressive Data Management Framework to Help Financial Institutions Meet BCBS239 Standards in Japan

Leading Japanese firm to begin applying Data Management Capability Assessment Model

TOKYO – MAY 25, 2015 – Nomura Research Institute (NRI), a leading provider of [consulting services and system solutions](#), today announced the introduction of a data management framework for Japanese financial institutions in collaboration with the US-based Enterprise Data Management Council’s Data Management Capability Assessment Model (DCAM). The goal of NRI’s progressive framework is to implement the DCAM’s standards among Japanese financial institutions as they prepare for the Basel Committee on Banking Supervision’s BCBS239 regulations.

The DCAM is designed to assist financial institutions in complying with stricter requirements on data management capability expressed in BCBS239 and to provide risk management professionals with a practical capabilities-based assessment model. NRI is the first and currently only authorized Japanese partner of the advisory group, EDM Council, which serves data management experts at financial institutions and US federal agencies. The EDM Council is comprised of experienced chief data officers from financial institutions, as well as data management professionals.

The Basel Committee on Banking Supervision announced BCBS239 on Jan. 9, 2013 to correct the limited or inadequate ability of global banks to aggregate their risk exposures and identify concentrations of risk at the bank group level which became apparent during the financial crisis of 2008-09.

NRI’s adopted version of DCAM will enhance the efforts of Japanese banks as they prepare for the following BCBS239 deadlines:

- Currently, all mega banks in Japan are identified as globally systemically important banks (G-SIBs), and other major banks are expected to be identified as domestically systemically important banks (D-SIBs) in the near future
- BCBS239 mandates all G-SIBs become compliant by 2016, while D-SIBs need to meet the principles within three years of registering for that designation

“To attain the data management capabilities demanded by BCBS239, firms must establish responsibility and accountability for data ownership across the entire organization,” says Harumi Saito, Senior Executive Management Director at NRI. “DCAM was designed by the EDM Council’s data management professionals to address the operational challenges that arise during regulatory implementation. This data management framework will help streamline operations at Japanese institutions as they pursue BCBS239 compliance, and we are pleased to be an integral part of this process.”



Nomura Research Institute

“Data management requires consistent measurement and evaluation to be affective,” said Michael Atkin, Managing Director of the EDM Council. “The DCAM Authorized Partner Program (DAP) empowers leaders in the industry, such as NRI, to guide financial institutions through a consistent measurement criteria designed to score the level of stakeholder engagement and evidence a firm’s capabilities related to the data management program.

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ABOUT NRI

Nomura Research Institute, Ltd. (“NRI”, TYO: 4307) is an independent, global IT solutions and consulting services provider with annual sales of 405.9 billion yen as of FY ended March 2015. With front-to-back support for the buy- and sell-side, NRI’s tradition of innovation has positioned them as a trusted international market leader. Leveraging NRI’s global consulting business, NRI is able to provide innovative financial IT solutions for investment banks, asset managers, banks and insurance providers. For more information, visit www.nri.com.

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