

News Release

NRI to provide post-trade utility service to UBS

TOKYO – September 14, 2015 - Nomura Research Institute (NRI), a leading provider of consulting services and system solutions, announced NRI will begin providing its Prime Settlement Service (PSS) to UBS Securities Co., Ltd. (UBS) from November 2015.

PSS is a utility service for financial institutions, integrating both BPO (business process outsourcing) and ITO (information technology outsourcing) services to improve the efficiency of back-office post-trade processes.

UBS will initially utilize PSS for its post-trade processes related to Japanese equities and Japanese bonds from November 2015. It will subsequently start using PSS for Non-Japanese equities and bonds trades from the target date of summer 2016.

PSS's ITO component is NRI's I-STAR^{*1}, a de-facto-standard system used by over 40 companies in Japan's investment banking sector. PSS's BPO services will be jointly provided by NRI and its subsidiary NRI Process Innovation, Ltd (NRI-PI). NRI-PI's current and former BPO clients includes 44 asset management companies. By integrating BPO and ITO services, PSS enables its financial institution partners to standardize their business processes, improve back-office efficiency and flexibly adapt to regulatory and infrastructural changes.

Utility services such as NRI's PSS have been recently gaining popularity around the world. PSS enables financial institutions to improve the efficiency of their back-office and IT business processes. NRI plans to roll out similar utility service offerings for other investment banks.

^{*1} I-STAR: An NRI multi-user service for wholesale securities brokers. It enables users to flexibly accommodate new products and regulatory and infrastructural changes in addition to reducing IT ownership and operating costs relative to in-house systems. For more details, see http://fis.nri.co.jp/en/service/list/F-J/I_STAR.html.

###

ABOUT NRI

Nomura Research Institute, Ltd. (“NRI”, TYO: 4307) is an independent, global IT solutions and consulting services provider with annual sales of 405.9 billion yen as of FY ended March 2015. With front-to-back support for the buy- and sell-side, NRI’s tradition of innovation has positioned them as a trusted international market leader. Leveraging NRI’s global consulting business, NRI is able to provide innovative financial IT solutions for investment banks, asset managers, banks and insurance providers. For more information, visit www.nri.com.

Media Inquires:

Yasuo Togawa / Yukako Seto

Nomura Research Institute, Ltd.

Corporate Communications Department

+81-3-6270-8100 kouhou@nri.co.jp

Sayaka Takeda

Nomura Research Institute America, Inc.

+1 212-636-0539 sayaka.takeda@nria.com