

News Release

Nomura Research Institute Ranked in Top 10 in American Banker and BAI FinTech Forward Rankings for 3rd Consecutive Year

New York, October 5, 2016 - Nomura Research Institute, Ltd. (NRI), a leading provider of consulting services and system solutions, today announced that it has been ranked tenth on the American Banker and BAI FinTech Forward Rankings. The Top 100 Technology Providers represents the largest FinTech focused companies in the world, those that derive at least one-third of their revenue from banking, capital markets, payments or the insurance industries.

NRI has been focused on ensuring that its market leading systems are continually innovating with the rapid changes in the financial marketplace for their clients specifically around regulatory issues and IT cost reductions. This includes their PSS solution, a utility service for financial institutions, which integrates both Business Process Outsourcing (BPO) and Information Technology Outsourcing (ITO) services to improve the efficiency of back-office post-trade processes. By integrating BPO and ITO services, PSS enables its financial institution partners to standardize their business processes, improve back-office efficiency and flexibly adapt to regulatory and infrastructural changes. UBS Securities Japan Co., Ltd. became the first adopter of NRI's PSS last year.

While NRI made significant strides in its exploration into FinTech, NRI broadened its global footprint in 2015 by establishing NRI Indonesia and by recently acquiring Boston-based Cutter Associates, the leader in investment operations consulting and research.

“We are excited to be recognized in the top ten of the FinTech Forward Rankings for the third consecutive year. We are committed to providing financial firms across the globe with top business solutions and consulting services,” said Hajime Ueda, Senior Corporate Managing Director of NRI. NRI continues to expand its geographic reach, enhance its solutions and explore new technologies. We look forward to building upon this progress in the coming year and to continue to be a leading global provider of innovative technology solutions.”

For the 13th consecutive year, American Banker and BAI have formed a strategic alliance and signature program, FinTech Forward, a yearly initiative focused on giving bankers the information and perspective on technology they need to plot a course for the future. A central objective of the FinTech Forward program is to identify the forces and trends that are motivating banks' technology investment. The FinTech Forward team also spotlights new players that are shaking up the establishment.

For more information about the rankings, visit FinTechForward.com

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About NRI

Founded in 1965, NRI is a leading global provider of system solutions and consulting services with annual sales above \$3.7 billion.

NRI offers clients holistic support of all aspects of operations from back- to front-office, with NRI's research expertise and innovative solutions as well as understanding of operational challenges faced by financial services firms. The clients include broker-dealers, asset managers, banks and insurance providers. NRI has 35 offices globally including New York, London, Tokyo, Hong Kong and Singapore, and over 10,000 employees.

For more information, visit <http://fis.nri.co.jp/en>

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