



Nomura Research Institute Group

NEWS RELEASE

NRI Signs Agreement to Acquire 100% of AUSIEX Limited shares

Capturing growth in the Australian Wealth Management Market

Tokyo, April 28, 2020 Nomura Research Institute, Ltd. (NRI) today announced its wholly owned Australian subsidiary Nomura Research Institute Australia, Pty Ltd (Headquarters: Sydney Australia) concluded an agreement to buy 100% of the shares of Australian Investment Exchange Ltd (AUSIEX, Headquarters: Sydney Australia), from AUSIEX parent Commonwealth Bank of Australia Limited (“CBA”, Headquarters: Sydney Australia).

Long-term structural growth in Australia’s wealth management market

Following in the footsteps of the acquisition of ASG Group Limited (Headquarters: Perth Australia), the AUSIEX acquisition demonstrates NRI’s steady expansion of its Australian and overall global footprint in line with its long-term management vision “Vision2022”.

The acquisition serves as an anchor point for NRI’s accelerated expansion into global financial markets, as it establishes its presence as a major technology and operations services provider in the Australian wealth management market. Long-term structural growth in savings is expected to continue with Australia’s national superannuation pension system and growing population.

AUSIEX – catering to the back office needs of major financial institutions and IFAs

AUSIEX is the wholesale arm of Commonwealth Securities Limited, a subsidiary of CBA, and trades under the brand name CommSec Adviser Services. AUSIEX is one of Australia’s leading providers of trade execution, settlement and portfolio admin solutions. AUSIEX boasts over 10,000 independent financial advisors (IFAs) and major financial institutions, to which it provides high value added services with its in house developed IT systems and standardized operations.

Strategic view of this acquisition

NRI will provide AUSIEX with the globally recognized securities transaction management system (I-STAR/GV) and other specialized system development and operations knowledge while investing in the development of new services, and strengthen the business base through actively promoting new relationships with strong external vendors, to play a pivotal role in Australia’s capital market infrastructure.

About NRI

Founded in 1965, NRI is a leading global provider of system solutions and consulting services, including management consulting, system integration, and IT management and solutions for financial, manufacturing, retail and service industries. Clients partner with NRI to expand businesses, design corporate structures and create new business strategies. NRI has about 12,000 employees in more than 40 offices globally including New York, London, Tokyo, Hong Kong, Singapore, and Australia. NRI reports annual sales above \$4.5 billion. For more information, visit <https://www.nri.com/en>

(AUSIEX)

Name	Australian Investment Exchange Limited
Address	Ground Floor Tower 1, 201 Sussex Street, Sydney, New South Wales 2000, Australia
Established	November 25 1996
No. of Employees	Approx. 250 as of February end 2020
Representative	Gavin Walker, Chairman
URL	https://www.commsecadviserservices.com.au/
Consolidated Sales	61,813 thousand AUD
Business	Back office securities and portfolio management

(CBA)

Name	Commonwealth Bank of Australia Limited
Address	Ground Floor Tower 1, 201 Sussex Street, Sydney, New South Wales 2000, Australia
Established	December 22 1911
Representative	Catherine Livingstone AO, Chairman
URL	https://www.commbank.com.au/
Consolidated Sales	24,337 million AUD
Business	Australia's leading provider of integrated financial services, including retail, premium, business and institutional banking, funds management, superannuation, insurance, investment and share-broking products and services

Media Inquiries

Hale Sterling

Corporate Communications Department

Nomura Research Institute, Ltd.

TEL : +81-3-5877-7100

E-mail : kouhou@nri.co.jp

Inquiries about the Acquisition

Hiroki Shimada

Securities Global Project Department

Nomura Research Institute, Ltd.

E-mail : financialsolutions-australia-info@nri.co.jp