

NRI Earns 'SAM Bronze Class' Distinction in RobecoSAM Sustainability Award 2020

Tokyo, March 5, 2020 – Nomura Research Institute, Ltd. (NRI), a leading provider of consulting services and system solutions, earned 'SAM Bronze Class' distinction in Sustainability Award 2020, a sustainability assessment conducted by Switzerland-based global sustainable investment research and assessment company RobecoSAM*1. Among the reasons for this distinction are the world-class standards of NRI's information disclosures, as well as its initiatives in economic, environmental, and social sustainability.



About the Sustainability Award

Every year RobecoSAM assesses the economic, environmental, and social sustainability of companies, and awards Gold, Silver, and Bronze Class distinction to those with particularly outstanding performance. These results are then published in the RobecoSAM Sustainability Yearbook^{*2}. In 2020 RobecoSAM assessed 4,710 major companies around the world (in 61 industries) and gave Bronze Class distinction or higher to 220 companies globally, 18 of which were from Japan including NRI. Additionally, NRI was one of only six companies worldwide that were chosen in the field of IT Services & Internet Software and Services.

About NRI's assessment

NRI received a high evaluation for its economic sustainability initiatives such as IT human resources development geared toward technological innovations such as artificial intelligence (AI), and in environmental sustainability for being recognized by the Science Based Targets (SBT)^{*3} initiative, as well as its aggressive commitment to global initiatives including membership in the RE100^{*4}. As for initiatives related to society, NRI also received a strong evaluation primarily for its efforts toward diversity (separate indicators were used for gender and rank) and for implementation of human rights due diligence. NRI earned Bronze Class distinction as a result of these efforts.

For the second consecutive year NRI has also been selected as a component of the Dow Jones

Sustainability World Index^{*5}, an ESG stock index produced collaboratively by RobecoSAM and S&P Dow Jones Indices (based in the US).

NRI's NRI Group Medium-Term Management Plan (2019-2022) calls for "Solving social issues through value co-creation" and identifies NRI's commitment to "Co-create a thriving future society by driving new value," "Co-create an ideal society by effectively utilizing its resources," and "Co-creating a safe and secure society by advancing its infrastructure" as the social values at the very core of its business activities. Based on this plan, NRI will contribute to creating a sustainable future society through activities in its main business areas including consulting and IT solutions.

*1: RobecoSAM:

An investment expert organization based in Switzerland which specializes in sustainable investing. RobecoSAM offers investment management strategies, compiles investment indexes, conducts proxy voting, promotes engagement, analyzes the social impact of companies' actions, assesses sustainability, and offers benchmarking among other services.

RobecoSAM website: https://www.robecosam.com/en

*2: The Sustainability Yearbook:

For the assessment results of Sustainability Award 2020, see the Sustainability Yearbook published by RobecoSAM.

The Sustainability Yearbook: https://yearbook.robecosam.com/

*3: Science Based Targets (SBT):

Science-based targets for reducing greenhouse gas emissions by companies in order to keep global temperature increases under 2°C compared to preindustrial temperatures. Companies are recognized by the SBT Initiative which maintains these targets to promote action on climate change. The initiative was established by four organizations: the CDP which is a federation of institutional investors that promotes disclosure of measures to counteract climate-change, the UN Global Compact (UNGC), the World Resources Institute (WRI), and the World Wildlife Fund (WWF). NRI's environmental target was certified by SBT in September 2018. NRI was the 132nd company to receive certification globally, and the 29th in Japan.

SBT Initiative website: https://sciencebasedtargets.org

NRI News: https://www.nri.com/en/news/info/cc/lst/2018/0920_1

*4: RE100:

A global leadership initiative led by the international NGO The Climate Group in partnership with the CDP. Companies that join the initiative declare and publish goals specifying that 100% of the energy consumed for their business operations will be renewable by 2050. In February 2019 NRI joined RE100 with the pledge to have renewable energy comprise all the electric power used in its business activities and reduce greenhouse gas emissions from these activities to close to zero by fiscal 2050. NRI was the 165th company to join RE100, and

the 17th from Japan.

The RE100 official website: http://there100.org/

NRI News: https://www.nri.com/en/news/info/cc/lst/2019/0221_1

*5: NRI Selected to the Dow Jones Sustainability World Index for the Second Consecutive Year: NRI News: https://www.nri.com/en/news/newsrelease/lst/2019/cc/0917_1

[For Reference]

■NRI's external evaluations

ESG Investment Index or Rating	Description
Dow Jones Sustainability World Index	Selected for the 2nd consecutive year since 2018
Dow Jones Sustainability Asia Pacific	Selected for the 4th consecutive year since 2016
Index	
MSCI ACWI ESG Leaders Index	Selected for the 3rd consecutive year since 2016
FTSE4Good Developed Index	Selected for the 14th consecutive year since 2006
MCSI Japan ESG Select Leaders Index	Selected for the3rd consecutive year since 2017
MSCI Japan Empowering Women Index	Selected for the 3rd consecutive year since 2017
(WIN)	
FTSE Blossom Japan Index	Selected for the 3rd consecutive year since 2017
S&P/JPX Carbon Efficient Index	Selected for the 1 st time in 2018
CDP	Selected to CDP 'A List' for climate change and to the
	'CDP Supplier Engagement Leaderboard' in 2019
Science Based Targets (SBT)	The NRI Group's greenhouse gas emissions reduction
	target recognized by the SBT Initiative in 2018
RE100	The NRI Group joined the RE100 in 2019, committing
	to use 100% renewable energy by 2050

NRI's sustainability-related initiatives

Website:

https://www.nri.com/en/sustainability

- Integrated Report: <u>https://ir.nri.com/en/ir/library/report.html</u>
- ESG Databook:

https://www.nri.com/en/sustainability/library/back_number

 Sustainability Book: <u>https://www.nri.com/en/sustainability/library/back_number</u>

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