



Nomura Research Institute Group

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Nomura Research Institute, Ltd.

NRI to provide UBS SuMi TRUST Wealth Management with PSS post-trade utility service

~Prime Settlement Service (PSS), a Utility Service for Multiple Financial Institutions~

Nomura Research Institute (NRI), a leading provider of consulting services and system solutions, has begun providing its Prime Settlement Service (PSS)

(https://www.nri.com/-/media/Corporate/en/Files/PDF/news/newsrelease/cc/2015/150914_1.pdf *1) to UBS SuMi TRUST Wealth Management Co., Ltd. (UBS SuMi TRUST WM) from August 10th, 2021.

PSS is a utility service for financial institutions, integrating both BPO (Business Process Outsourcing) and ITO (Information Technology Outsourcing) services to improve the efficiency of back-office post-trade processes. PSS enables users to swiftly build their operational flow without spending resources on recruiting and training. PSS users have less burden for system integration and management, enabling securities businesses to launch with lower risk and shorter launch duration.

“NRI is proud to be selected for the launch of UBS SuMi TRUST WM using our PSS platform,” said Hiroyuki Nakayama, Managing Director at NRI. “During the implementation phase, NRI consulted on regulations compliance, built new operational flows and organizational systems and assisted with ITO implementation. By using NRI’s PSS, UBS SuMi TRUST WM benefits from standardized back-office systems and streamlined IT services across the operations of both companies.”

Features of NRI Prime Settlement Service:

- Strong support to launch businesses with BPO and ITO with a proven track record of success
- Full service support for Japanese equities, Japanese bonds, international securities, listed futures and options and mutual funds
- Standardized and efficient operational processes with high-quality service including exception processing, communication with both Japanese and international clients and settlement institutions, bilingual (English and Japanese) service, support for internal and external audits and regulatory monitoring services

- Stable IT infrastructure: PSS's ITO component is known as NRI's I-STAR (*2), a de-facto-standard system in Japan's investment banking sector
- Operational stability with ongoing service level agreements (SLAs)

*1: Prime Settlement Service: Provided jointly by NRI Process Innovation, Ltd. and NRI, PSS is Japan's first utility service for investment banks that streamlines post-trade operations by delivering BPO and ITO services. <https://www.nri.com/en/service/solution/fis/pss>

*2: I-STAR: I-STAR, the industry's de facto standard infrastructure is a securities back-office system offering finely tuned operations support for wholesale brokerage firms.
https://www.nri.com/en/service/solution/fis/i_star_core

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