

November 16, 2018
Nomura Research Institute, Ltd

NRI Announces Status of Acquisition of Treasury Stock and Completion of Acquisition

(Acquisition of Treasury Stock pursuant to the Articles of Incorporation in accordance with the provisions of Article 459, paragraph 1 of Japan's Companies Act)

TOKYO, November 16, 2018 – Nomura Research Institute, Ltd. (NRI) announced today that it has implemented the acquisition of treasury stock, a move that was approved through a resolution passed in a meeting of the Board of Directors held on April 26, 2018, in accordance with the provisions of Article 459, paragraph 1 of Japan's Companies Act. The details are outlined below.

The acquisition of treasury stock in accordance with the aforementioned resolution at the meeting of the Board of Directors is hereby fully completed.

- (1) Type of stock acquired: NRI common stock
- (2) Total number of shares acquired: 455,700 shares
- (3) Total acquisition price for shares: ¥2,343,625,993
- (4) Acquisition period*: November 1, 2018 – November 15, 2018
- (5) Acquisition method: Market purchase on Tokyo Stock Exchange

*Acquisition period is described on a trade date basis

(Reference)

1. Details of resolution on acquisition of treasury stock at the meeting of the Board of Directors held on April 26, 2018

- (1) Type of stock to be acquired: NRI common stock
- (2) Total number of shares to be acquired: Up to 7,000,000 shares
(Percentage of total number of issued shares (excluding treasury stock*): 2.93%)
- (3) Total acquisition price for shares: Up to ¥30,000 million
- (4) Acquisition period: May 16, 2018 – February 28, 2019
- (5) Acquisition method: Market purchase on Tokyo Stock Exchange

* Treasury stock does not include NRI shares held by the NI Group Employees' Stock Ownership Plan Trust (the same applies hereinafter).

2. Accumulated treasury stock acquired based on aforementioned meeting of the Board of Directors

(1) Total number of shares acquired: 5,544,900 shares

(2) Total acquisition price for shares: ¥29,999,575,946

General Inquiries:

Kuniaki Fujioka

Nomura Research Institute, Ltd.

Investor Relations Department

+81-3-5877-7072

ir@nri.co.jp